



County Hall  
Cardiff  
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## AGENDA

<b>Committee</b>	GOVERNANCE AND AUDIT COMMITTEE
<b>Date and Time of Meeting</b>	TUESDAY, 23 NOVEMBER 2021, 2.00 PM
<b>Venue</b>	REMOTE VIA MS TEAMS
<b>Membership</b>	Independent Members: David Hugh Thomas (Chairperson) Gavin McArthur, David Price and Janet Wademan Councillors Cowan, Cunnah, Goodway, Howells, K Jones, Simmons, Singh and Williams

*Time  
approx.*

- |            |  |         |
|------------|--|---------|
| <b>1</b>   | <b>Apologies for Absence</b>   | 2.00 pm |
|            | To receive apologies for absence.  |         |
| <b>2</b>   | <b>Declarations of Interest</b>  |         |
|            | To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct. |         |
| <b>3</b>   | <b>Minutes</b> ( <i>Pages 3 - 10</i> )   |         |
|            | To approve, as a correct record, the Minutes of the meetings held on 28 September and 19 October 2021.   |         |
| <b>4</b>   | <b>Andrew Gregory, Director of Planning, Transport &amp; Environment</b><br>( <i>Pages 11 - 56</i> )     |         |
|            | Update on Directorate Control Environment  |         |
| <b>5</b>   | <b>Internal Audit</b>  | 2.30 pm |
| <b>5.1</b> | Audit and Investigation Team - Progress Update ( <i>Pages 57 - 136</i> )                                 |         |
| <b>6</b>   | <b>Finance</b>   | 2.45 pm |
| <b>6.1</b> | Financial Update including Resilience Issues ( <i>Pages 137 - 142</i> )                                  |         |
| <b>7</b>   | <b>Audit Wales</b>   | 2.55 pm |

- 7.1** Draft Audited Statement of Accounts (*Pages 143 - 198*)  
ISA 260 and Audited Statement of Accounts 2020/21 for Cardiff & Vale  
of Glamorgan Pension Fund

**COMFORT BREAK - 3.20PM**

- 7.2** National and Local Financial Sustainability Reports (*Pages 199 - 272*) 3.25 pm
- 7.3** AW Work Programme and Timetable Update (*Pages 273 - 294*)
- 8 Performance** 3.45 pm
- 8.1** Draft Cardiff Council Mid Year (Corporate) Complaints Report 2021-22  
(*Pages 295 - 302*)
- 9 Governance & Risk Management** 4.00 pm
- 9.1** Corporate Risk Management 2021/22 (Mid-Year) (*Pages 303 - 334*)
- 10 Treasury Management** 4.15 pm
- 10.1** Treasury Management Half Year Report (*Pages 335 - 362*)
- 11 Outstanding Actions** (*Pages 363 - 364*) 4.30 pm
- 12 Correspondence**
- 13 Work Programme Update** (*Pages 365 - 366*)
- 14 Urgent Items (if any)**
- 15 Date of next meeting**

A special meeting of the Committee will take place on 7 December 2021. The next scheduled meeting will take place on 25 January 2022.

**Davina Fiore**  
**Director Governance & Legal Services**  
Date: Wednesday, 17 November 2021  
Contact: Graham Porter,  
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